

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

BEFORE THE COURT are cross-Motions for Summary Judgment. (Ct. Rec. 17, 19.) Attorney Maureen J. Rosette represents Plaintiff; Special Assistant United States Attorney Leisa A. Wolfe represents Defendant. The parties have consented to proceed before a magistrate judge. (Ct. Rec. 8.) After reviewing the administrative record and the briefs filed by the parties, the court **DENIES** Plaintiff's Motion for Summary Judgment and directs entry of judgment for the Defendant.

Plaintiff applied for disability insurance benefits (DIB) and Supplemental Security Income (SSI) in May 2006. (Tr. 138-39.) He alleged disability due to back, neck, and hip pain, and a jaw injury, with an onset date of December 31, 2001. (Tr. 149.) Following a denial of benefits at the initial stage and on reconsideration, a hearing was held before Administrative Law Judge

1 (ALJ) Richard Say on July 26, 2007. (Tr. 44-67.) Plaintiff, who
 2 was represented by counsel, and vocational expert Dan McKinney
 3 testified. On October 22, 2007, ALJ Say denied benefits; review was
 4 denied by the Appeals Council after consideration of additional
 5 evidence submitted by Plaintiff.¹ (Tr. 4-7, 11-22, 32-42.) This
 6 appeal followed. Jurisdiction is appropriate pursuant to 42 U.S.C.
 7 § 405(g).

8 **STANDARD OF REVIEW**

9 In *Edlund v. Massanari*, 253 F.3d 1152, 1156 (9th Cir. 2001), the
 10 court set out the standard of review:

11 The decision of the Commissioner may be reversed only if
 12 it is not supported by substantial evidence or if it is
 13 based on legal error. *Tackett v. Apfel*, 180 F.3d 1094,
 14 1097 (9th Cir. 1999). Substantial evidence is defined as
 15 being more than a mere scintilla, but less than a
 16 preponderance. *Id.* at 1098. Put another way, substantial
 17 evidence is such relevant evidence as a reasonable mind
 18 might accept as adequate to support a conclusion.
Richardson v. Perales, 402 U.S. 389, 401 (1971). If the
 19 evidence is susceptible to more than one rational
 20 interpretation, the court may not substitute its judgment
 21 for that of the Commissioner. *Tackett*, 180 F.3d at 1097;
Morgan v. Commissioner of Social Sec. Admin. 169 F.3d 595,
 22 599 (9th Cir. 1999).

23 ¹ The additional evidence includes a letter from Plaintiff's
 24 representative and medical records dated August to December 2007,
 25 March and May 2000, and January to March 2008. (Tr. 7.) These
 26 records are part of the record on *de novo* review by this court.
Ramirez v. Shalala, 8 F.3d 1449, 1452 (9th Cir. 1993); *Gomez v.*
Chater, 74 F.3d 967, 971 (9th Cir. 1996). Remand for consideration
 27 of new evidence by the ALJ is appropriate only if it is clear the
 28 new evidence may change the ALJ's decision. *Mayes v. Massanari*, 276
 F.3d 453, 462 (9th Cir 2001).

The ALJ is responsible for determining credibility, resolving conflicts in medical testimony, and resolving ambiguities. *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th Cir. 1995). The ALJ's determinations of law are reviewed *de novo*, although deference is owed to a reasonable construction of the applicable statutes. *McNatt v. Apfel*, 201 F.3d 1084, 1087 (9th Cir. 2000).

SEQUENTIAL PROCESS

Also in *Edlund*, 253 F.3d at 1156-1157, the court set out the requirements necessary to establish disability:

Under the Social Security Act, individuals who are "under a disability" are eligible to receive benefits. 42 U.S.C. § 423(a)(1)(D). A "disability" is defined as "any medically determinable physical or mental impairment" which prevents one from engaging "in any substantial gainful activity" and is expected to result in death or last "for a continuous period of not less than 12 months." 42 U.S.C. § 423(d)(1)(A). Such an impairment must result from "anatomical, physiological, or psychological abnormalities which are demonstrable by medically acceptable clinical and laboratory diagnostic techniques." 42 U.S.C. § 423(d)(3). The Act also provides that a claimant will be eligible for benefits only if his impairments "are of such severity that he is not only unable to do his previous work but cannot, considering his age, education and work experience, engage in any other kind of substantial gainful work which exists in the national economy . . ." 42 U.S.C. § 423(d)(2)(A). Thus, the definition of disability consists of both medical and vocational components.

In evaluating whether a claimant suffers from a disability, an ALJ must apply a five-step sequential inquiry addressing both components of the definition, until a question is answered affirmatively or negatively in such a way that an ultimate determination can be made. 20 C.F.R. §§ 404.1520(a)-(f), 416.920(a)-(f). "The claimant bears the burden of proving that [s]he is disabled." *Meanel v. Apfel*, 172 F.3d 1111, 1113 (9th Cir. 1999). This requires the presentation of "complete and detailed objective medical reports of h[is] condition from licensed medical professionals." *Id.* (citing 20 C.F.R. §§ 404.1512(a)-(b), 404.1513(d)).

STATEMENT OF FACTS

The facts of the case are set forth in detail in the transcript of proceedings, and are briefly summarized here. Plaintiff was 39

1 at the time of the hearing. (Tr. 48.) He had an 11th grade
2 education, was not married, and lived alone in a house owned by his
3 parents. (*Id.*) He testified he did his own household chores,
4 including cooking and laundry, but he did not drive or shop because
5 of lower extremity pain. (Tr. 50-51.) He stated he could lift a
6 gallon of milk, sit for 10 to 15 minutes, stand for 10 minutes, walk
7 slowly for 10 to 15 minutes and had trouble with his balance. (Tr.
8 53.) Plaintiff has past work experience as a metal cutter,
9 demolition worker, industrial truck driver/ fork lift operator,
10 metal finisher, hazardous material removal specialist, siding
11 installer and construction laborer. (Tr. 61.) He testified he was
12 no longer able to work due to fatigue and pain in his neck, feet and
13 legs. (Tr. 56-58.)

14 **ADMINISTRATIVE DECISION**

15 The ALJ found Plaintiff was insured for DIB through December
16 31, 2006. At step one, he found Plaintiff had not engaged in
17 substantial gainful activity. (Tr. 34.) At step two, he found
18 Plaintiff had the severe impairments of degenerative disk disease of
19 the lumbar spine and palmar fascia contracture in the right hand.
20 (Tr. 43.) He found Plaintiff's diagnosed plantar fasciitis, neck
21 sprain, jaw injury, and impetigo were not severe. (Tr. 35.) At
22 step three, he found none of the impairments alone or in combination
23 equaled one of the listed impairments in 20 C.F.R. Part 404, Subpart
24 P, Appendix 1 (Listings). The ALJ found Plaintiff's subjective
25 complaints regarding the severity of his symptoms were not entirely
26 credible. (Tr. 37-38.) At step four, he determined Plaintiff had
27 the residual functional capacity (RFC) to perform light work with
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1 several postural and manipulation restrictions, but he could not
2 perform his past relevant work. (Tr. 36, 40.) Considering
3 vocational expert testimony, the ALJ found Plaintiff could perform
4 other light and sedentary jobs in the national economy. The ALJ
5 specifically identified jobs in the Packing and Filling Machine
6 Operator and Product Inspector/Checker categories that Plaintiff
7 could perform. (Tr. 41.) He concluded Plaintiff was not "disabled"
8 as defined by the Social Security Act through the date of the
9 decision. (*Id.*)

10 **ISSUES**

11 The question presented is whether there was substantial
12 evidence to support the ALJ's decision denying benefits and, if so,
13 whether that decision was based on proper legal standards.
14 Plaintiff contends the ALJ erred when: (1) he found at step two that
15 Plaintiff's diagnosed plantar fasciitis was not a severe impairment;
16 (2) he discounted Plaintiff's testimony regarding the severity of
17 his symptoms; and (3) he improperly relied on a non-examining
18 medical opinion when assessing his RFC. (Ct. Rec. 18 at 11-19.)

19 **DISCUSSION**

20 **A. Step Two: Severity of Plantar Fasciitis**

21 To satisfy step two's requirement of a severe impairment, the
22 claimant must prove the existence of a physical or mental impairment
23 by providing medical evidence consisting of signs, symptoms, and
24 laboratory findings; the claimant's own statement of symptoms alone
25 will not suffice. 20 C.F.R. § 404.1508, 416.908. The step two
26 inquiry is a *de minimis* screening device to dispose of groundless or
27 frivolous claims. *Bowen v. Yuckert*, 482 U.S. 137, 153-154 (1987).

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1 The Commissioner has passed regulations which guide dismissal of
2 claims at step two. Those regulations state an impairment may be
3 found to be "non-severe" only when evidence establishes a "slight
4 abnormality" that has "no more than a *minimal effect* on an
5 individual's ability to work." *Corrao v. Shalala*, 20 F.3d 943, 949
6 (9th Cir. 1994)(citing *Social Security Ruling (SSR) 85-28*). As
7 explained in *SSR 85-28*:

8 A determination that an impairment(s) is not severe
9 requires a careful evaluation of the medical findings
10 which describe the impairment(s) and an informed judgment
11 about its (their) limiting effects on the individual's
12 physical and mental ability(ies) to perform basic work
activities; thus, an assessment of function is inherent in
the medical evaluation process itself. At the second step
of sequential evaluation, then, medical evidence alone is
evaluated in order to assess the effects of the
impairment(s) on ability to do basic work activities.

13
14 *SSR 85-28*. The regulations advise the adjudicator that "[g]reat
15 care should be exercised in applying the not severe impairment
16 concept." *Id.*

17 In determining whether a claimant has a severe impairment the
18 ALJ evaluates the credible medical evidence submitted and explains
19 the weight given to the opinions of accepted medical sources in the
20 record. *Corrao*, 20 F.3d at 950. The regulations distinguish among
21 the opinions of three types of accepted medical sources: (1) sources
22 who have treated the claimant; (2) sources who have examined the
23 claimant; and (3) sources who have neither examined nor treated the
24 claimant, but express their opinion based upon a review of the
25 claimant's medical records. 20 C.F.R. §§ 404.1527, 416.927. A
26 treating physician's opinion carries more weight than an examining
27 physician's, and an examining physician's opinion carries more
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1 weight than a non-examining reviewing or consulting physician's
2 opinion. *Benecke v. Barnhart*, 379 F.3d 587, 592 (9th Cir. 2004);
3 *Lester v. Chater*, 81 F.3d 821, 830 (9th Cir. 1995). "As is the case
4 with the opinion of a treating physician, the Commissioner must
5 provide 'clear and convincing' reasons for rejecting the
6 uncontradicted opinion of an examining physician." *Lester*, 81 F.3d
7 at 830 (citation omitted). If the opinion is contradicted, it can
8 only be rejected for specific and legitimate reasons that are
9 supported by substantial evidence in the record. *Andrews*, 53 F.3d
10 at 1043. The testimony of a non-examining medical expert by itself
11 cannot be considered substantial evidence that supports the
12 rejection of an examining physician. *Lester*, 81 F.3d at 831.
13 Further, a non-examining state agency physician's findings of fact
14 must be treated as expert opinion evidence of non-examining sources
15 by the ALJ, who can give weight to these opinions only insofar as
16 they are supported by evidence in the case record. The ALJ cannot
17 ignore these opinions and must explain the weight given. *SSR 96-6p*.

18 In addition to acceptable medical sources, the ALJ must
19 consider opinions from other sources, such as nurse practitioners
20 (ARNP) and certified physician assistants (PA-Cs). 20 C.F.R. §§
21 404.1513(d), 416.913(d). Other source testimony can never establish
22 a diagnosis or disability absent corroborating competent medical
23 evidence. *Nguyen v. Chater*, 100 F.3d 1462, 1467 (9th Cir. 1996).
24 More weight generally is given to the opinions of an accepted
25 medical source than that of an other source. *Gomez*, 74 F.3d at 970-
26 71. However, the ALJ is required to "consider observations by
27 [other] sources as to how an impairment affects a claimant's ability
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1 to work." *Sprague v. Bowen*, 812 F.2d 1226, 1232 (9th Cir. 1987).
 2 Pursuant to *Dodrill v. Shalala*, 12 F.3d 915, 919 (9th Cir. 1993), an
 3 ALJ is obligated to give reasons "germane" to "other source" testimony before discounting it. Further, if there is evidence of
 4 an impairment, observations regarding functional limitations caused
 5 by that impairment must be considered and may be given weight,
 6 depending on the relevant facts, including the other source's
 7 qualifications, how long the other source has worked with Plaintiff
 8 and how consistent her opinions are with other evidence. See also
 9 *SSR 06-03p*.

11 Here, Plaintiff submitted treatment records from the Community
 12 Health Association of Spokane (CHAS), and reports from examining
 13 physicians. Plaintiff claims he was diagnosed with plantar
 14 fasciitis in May 2006 (Tr. 229); however, the cited diagnosis is
 15 included in reports by Barbara Tritt, PA-C, an "other source." Under
 16 the Regulations, her diagnosis alone cannot establish an impairment.
 17 *Nguyen*, 100 F.3d at 1467. In addition, Plaintiff references the
 18 diagnosis by neurologist Robert Rutherford, M.D., of "probable
 19 plantar fasciitis bilaterally" in August 2007. (Tr. 263.) A
 20 diagnosis of plantar fasciitis also is found in a July 2007 report
 21 from Dr. Charles Brondos, examining neurologist. (Tr. 279.) The
 22 ALJ thus properly found that Plaintiff was diagnosed with plantar
 23 fasciitis, (Tr. 35), but the a finding of severity requires more.
 24 The credible medical evidence must also show that the impairment (1)
 25 causes functional limitations that have more than a minimal effect
 26 on Plaintiff's ability to do work activities, and (2) last more than
 27 12 months. 20 C.F.R. §§ 404.1509, 416.909; *SSR 96-3p*.

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1 As found by the ALJ, Dr. Rutherford examination revealed
2 Plaintiff's ankle and foot had full range of motion and little
3 tenderness "when Plaintiff was distracted." (Tr. 35, 263.) The
4 doctor also noted Plaintiff's "unusual and subjectively severe
5 symptoms," and ordered a bone scan to rule out a bone abnormality.
6 (Tr. 263.) The bone scan "suggested" plantar fasciitis and changes
7 consisted with degenerative changes; hence, Dr. Rutherford's
8 diagnosis of "probable" plantar fasciitis. (Tr. 264.) Other
9 objective medical evidence, including 2008 chart notes and a
10 neurology report submitted to the Appeals Council, indicates
11 Plaintiff had decreased sensation in his feet, but minimal
12 functional limitations were observed: e.g., Plaintiff had a full
13 range of motion in both feet, intact balance and heel/toe walking,
14 no limp, normal gait and coordination; completely normal strength in
15 upper and lower extremities, and normal limb tone. (Tr. 97-98, 101,
16 232, 285.) Extensive laboratory studies conducted in 2008,
17 including an electrodiagnostic study of lower extremities, revealed
18 no significant abnormalities. (Tr. 73-76.)

19 In January 2008, examining neurology specialist Dr. Stephen
20 Carlson found Plaintiff's examination "fairly reassuring" and
21 commented that Plaintiff's "complaints were overwhelming, but the
22 exam findings are underwhelming." (Tr. 98.) Further, treatment of
23 Plaintiff's foot condition appears to be limited to physical
24 therapy, which Plaintiff refused to continue beyond three visits, in
25 spite of some reported improvement and good rehabilitation
26 potential. (Tr. 266-72.) The amount of treatment for an impairment
27 is "an important indicator" of symptom severity. 20 C.F.R. §§
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1 404.1529(c)(3), 416.929(c)(3).

2 As discussed above, credible medical evidence is evaluated at
3 step two to assess the effects of an impairment; subjective
4 complaints alone cannot establish severity. SSR 85-28. The ALJ's
5 step two determination that the objective medical evidence related
6 to Plaintiff's plantar fasciitis diagnosis showed no more than a
7 minimal effect on functional abilities is well supported by the
8 entire record. The ALJ did not err at step two. Further,
9 Plaintiff's complaints of pain and limitations allegedly caused by
10 plantar fasciitis were properly considered in the ALJ's credibility
11 determination and at step four and step five, in combination with
12 severe impairment symptoms. SSR 96-3p; SSR 96-7p; (Tr. 35, 37-41).
13 Thus, the alleged error, if any, at step two was non-prejudicial
14 and, therefore, harmless. *Burch v. Barnhart*, 400 F.3d 676, 682 (9th
15 Cir. 2005).

16 **B. Credibility**

17 Plaintiff argues the ALJ did not give specific reasons to
18 reject his testimony that his limited abilities to sit, stand, walk
19 and lift and carry rendered him totally disabled. (Ct. Rec. 18 at
20 16.) This argument is unpersuasive because the ALJ made extensive
21 legally sufficient findings in support of his credibility
22 determination. (Tr. 38-39.)

23 It is well-settled that credibility determinations are the sole
24 province of the adjudicator. *Richardson*, 402 U.S. at 400; *Thomas v.*
25 *Barnhart*, 278 F.3d 947, 959 (9th Cir. 2002); *Fair v. Bowen*, 885 F.2d
26 597, 604 (9th Cir. 1989). However, when an ALJ finds a claimant's
27 statements as to the severity of impairments, pain and limitations
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1 are not credible, the ALJ must make a credibility determination with
 2 findings sufficiently specific to permit the court to conclude the
 3 ALJ did not arbitrarily discredit claimant's allegations. *Thomas*,
 4 278 F.3d at 958-959; *Bunnell v. Sullivan*, 947 F.2d 341, 345-46 (9th
 5 Cir. 1991) (en banc). Nonetheless,

6 An ALJ cannot be required to believe every allegation of
 7 disabling pain, or else disability benefits would be
 8 available for the asking, a result plainly contrary to 42
 9 U.S.C. § 423 (d)(5)(A). . . . This holds true even where
 10 the claimant introduces medical evidence showing that he
 has an ailment reasonably expected to produce some pain;
 many medical conditions produce pain not severe enough to
 preclude gainful employment."

11 *Fair*, 885 F.2d at 603. If there is no affirmative evidence that
 12 the claimant is malingering, the ALJ must provide "clear and
 13 convincing" reasons for rejecting the claimant's allegations
 14 regarding the severity of symptoms. *Reddick v. Chater*, 157 F.3d 715,
 15 722 (9th Cir. 1998). The ALJ engages in a two-step analysis in
 16 deciding whether to admit a claimant's subjective symptom testimony.
 17 *Smolen v. Chater*, 80 F.3d 1273, 1281 (9th Cir. 1996).

18 Under the first step, the ALJ must find the claimant has
 19 produced objective medical evidence of an underlying "impairment,"
 20 and that the impairment, or combination of impairments, "could
 21 reasonably be expected to produce pain or other symptoms." *Cotton*
 22 *v. Bowen*, 799 F.2d 1403, 1405 (9th Cir. 1986). Once the *Cotton* test
 23 is met, the ALJ must evaluate the credibility of the claimant. In
 24 addition to ordinary techniques of credibility evaluation, the ALJ
 25 may consider the following factors when weighing the claimant's
 26 credibility: the claimant's reputation for truthfulness;
 27 inconsistencies either in his allegations of limitations or between
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1 his statements and conduct; daily activities and work record; and
 2 testimony from physicians and third parties concerning the nature,
 3 severity, and effect of the alleged symptoms. *Light v. Social Sec.*
 4 *Admin.*, 119 F.3d 789, 792 (9th Cir. 1997); *Fair*, 885 F.2d 597 at n.5.
 5 The ALJ may also consider an unexplained failure to follow treatment
 6 recommendations and testimony by the claimant "that appears less
 7 than candid." *Tommasetti v. Astrue*, 533 F.3d 1035, 1039 (9th Cir.
 8 2008). If the ALJ's credibility finding is supported by substantial
 9 evidence in the record, "the court may not engage in
 10 second-guessing." *Thomas*, 278 F.3d at 959; *Fair*, 885 F.2d at 604.

11 Here, the ALJ noted Plaintiff's allegations that he could not
 12 work due to muscle constriction over his entire body and that he
 13 spends 95 percent of his day lying down. (Tr. 37.) Further, he
 14 specifically referenced Plaintiff's testimony that he could lift
 15 less than a gallon of milk, and sit, stand or walk for 10-15 minutes
 16 at a time. (Tr. 39.) Nonetheless, based on his interpretation of
 17 the evidence, the ALJ concluded that these assertions were not
 18 entirely credible. (Tr. 37.) Significantly, the ALJ found, and the
 19 record shows, that physical examinations and recorded observations
 20 by medical specialists consistently show Plaintiff had intact gait,
 21 balance and coordination, with no evidence of muscle atrophy in
 22 upper or lower extremities, indicating he was able to sit, stand and
 23 walk. (Tr. 38.) The ALJ specifically cited objective imaging
 24 results and treatment notes from examining medical sources and
 25 Plaintiff's primary care provider that indicated Plaintiff had the
 26 physical ability to perform light work. (Tr. 38; see, e.g., Tr.
 27 223, 229-32, 236-38, 287.) Although an adjudicator may not reject
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1 a claimant's extreme symptom complaints solely on a lack of
 2 objective medical evidence, the medical evidence is a relevant
 3 factor to consider. SSR 96-7p. Further, the ALJ's reasoning that
 4 medical evidence did not establish total disability is supported by
 5 neurology reports and imaging results in evidence submitted to the
 6 Appeals Council. (Tr. 97-101.)

7 In addition to a lack of objective medical evidence, the ALJ
 8 gave other "clear and convincing" reasons to discount Plaintiff's
 9 testimony, including: observations by examining specialists and his
 10 physical therapy provider that his symptoms were "unusual" and
 11 unexplained by test results; the use of conservative treatment; and
 12 Plaintiff's failure to follow through with treatment
 13 recommendations;² Plaintiff's self-reported activities of daily
 14 living that contradicted his claim of being bed-ridden 95 percent of
 15 the day; and inconsistencies between treatment notes and Plaintiff's
 16 testimony. (Tr. 39, 263, 266.) The ALJ reasonably found the
 17 extremity of the symptoms described by Plaintiff at the hearing was
 18 "implausible" and was not reflected in treatment notes.³ (Tr. 38-39.)

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21 ² Evidence of conservative treatment and failure to follow
 22 through with recommended conservative treatment are legally
 23 sufficient reasons to discount Plaintiff's statements regarding the
 24 severity of an impairment. See *Tommasetti*, 533 F.3d at 1039; *Parra*
 25 v. *Astrue*, 481 F.3d 742, 750-51 (9th Cir. 2007) (citation omitted).

26 ³ The ALJ erroneously referenced Plaintiff's ability to sit
 27 through the entire hearing as a reason to discredit his claim that
 28 he could only sit for 10-15 minutes. As noted in Ninth Circuit law,

1 These specific reasons are sufficiently "clear and convincing."
 2 Thomas, 278 F.3d at 958-59. Further, the ALJ's interpretation of
 3 the evidence is rationally supported by the entire record;
 4 therefore, the court may not second guess the Commissioner's
 5 credibility determination. *Tackett*, 180 F.3d at 1097.

6 **C. RFC Determination**

7 Plaintiff contends his impairments render him totally unable to
 8 perform work activities. (Ct. Rec. 18 at 156.) He argues the ALJ
 9 erroneously relied on the opinions of a non-examining physician in
 10 his final RFC determination, which is as follows:

11 [C]laimant has the residual functional capacity to perform
 12 the full range of light work. The clamant can lift 20
 13 pounds occasionally and frequently lift or carry 10
 14 pounds. The clamant can sit for six hours and stand or
 15 walk for six hours in an eight-hour workday. He can
 16 occasionally stoop, crouch, crawl, kneel, balance, or
 17 climb. He can occasionally use his right dominant thumb
 18 and hand for fingering. The claimant is afflicted with
 19 symptoms from various sources including mild to moderate
 20 chronic pain which is of sufficient severity to be
 21 noticeable to him at all times, but he would be able to
 22 remain attentive and responsive in a work setting and
 23 could carry out normal work assignments satisfactorily.
 24 The clamant takes medication for relief of his symptoms,

25 *Gallant v. Heckler*, 753 F.2d 1450, 1455 (9th Cir. 1984), it is error
 26 to rely on the "squirm" test; thus, to the extent the credibility
 27 findings reflect the ALJ's personal observation of Plaintiff during
 28 the hearing, those findings are error. However, such error may not
 render the entire decision improper where, as here, there are other
 legally sufficient reasons included in the credibility findings.

See *Morgan*, 169 F.3d at 600 (citing *Sellard v. Shalala*, 37 F.3d 1506
 (9th Cir. 1994); *Nyman v. Heckler*, 779 F.2d 528, 531 (9th Cir. 1985)).

1 but the medications do not prevent him from functioning at
 2 the levels indicated, and he would remain reasonably alert
 3 to perform required functions presented by his work
 setting. The claimant would need to change position about
 every 45-60 minutes to relieve symptoms.

4 (Tr. 36.) In making these findings, the ALJ gave "significant
 5 weight" to the October 2006 RFC affirmed by agency reviewing
 6 physician, Norman Staley, M.D. (Tr. 39, 250-59.) Plaintiff contends
 7 the ALJ improperly rejected the opinions of Dr. Brondos (examining
 8 physician) and PA-C Tritt in favor of Dr. Staley's opinions. (Ct
 9 Rec. 18 at 17-18.)

10 The opinion of a non-examining physician may be accepted as
 11 substantial evidence if it is supported by other evidence in the
 12 record and is consistent with it. *Andrews*, 53 F.3d at 1043; *Lester*,
 13 81 F.3d at 830-31. The opinion of a non-examining physician cannot
 14 by itself constitute substantial evidence that justifies the
 15 rejection of the opinion of either an examining physician or a
 16 treating physician. *Lester*, 81 F.3d at 831 (citing *Pitzer v.*
 17 *Sullivan*, 908 F.2d 502, 506 n.4 (9th Cir. 1990)). Cases have upheld
 18 rejection of an examining or treating physician based in part on the
 19 testimony of a non-examining medical source; but those cases have
 20 also found reasons to reject the opinions of examining and treating
 21 physicians, independent of the non-examining doctor's opinion.
 22 *Lester*, 81 F.3d at 831 (citing *Magallanes v. Bowen*, 881 F.2d 747,
 23 751-55 (9th Cir. 1989) (reliance on laboratory test results, contrary
 24 reports from examining physicians); *Roberts v. Shalala*, 66 F.3d 179
 25 (9th Cir. 1995) (rejection of examining psychologist's functional
 26 assessment which conflicted with his own written report and test
 27 results)). Thus, case law requires substantial evidence (more than
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1 a mere scintilla, but less than a preponderance), independent of the
2 non-examining physician opinion which supports the rejection of
3 contrary conclusions by examining or treating physicians. *Andrews*,
4 53 F.3d at 1039.

5 Plaintiff was referred by his primary care provider to Dr.
6 Brondos in July 2007. Dr. Brondos evaluated Plaintiff specifically
7 to rule out ALS, multiple sclerosis and neuropathy. (Tr. 275, 279-
8 280.) In his one and a half page narrative report he found "no
9 supersensitivity over the feet by examination. Vibration is intact
10 at the ankle." (Tr. 280.) Examination also revealed normal
11 coordination and symmetric motor strength in upper and lower
12 extremities. (*Id.*) Further, in his form report, Dr. Brondos noted
13 all areas evaluated were "within normal limits." (Tr. 276.) He
14 identified "neuropathy" as the only limiting diagnosis, and gave it
15 a severity rating of "moderate." However, on the form report, he
16 opined Plaintiff's overall work level was "sedentary." (Tr. 277.)
17 The ALJ gave the check-box form of Dr. Brondos's report little
18 weight, because it (1) was in an unexplained check-box format; (2)
19 was based on a single examination; (3) was based on self-report "in
20 a secondary gain context"; and (4) did not contain an explanation of
21 the basis for the conclusion. (Tr. 40.) These are specific and
22 legitimate reasons for rejecting a contradicted examining
23 physicians' opinions, and they are fully supported the record as
24 discussed above. See, e.g., *Crane v. Shalala*, 76 F.3d 251, 253, (9th
25 Cir. 1996). It is further noted on independent review that Dr.
26 Brondos's narrative summary of his brief physical examination are
27 consistent with other medical evidence in the record and, therefore,
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1 were unrejected by the ALJ. (Tr. 280.)

2 Regarding Ms. Tritt's opinion that Plaintiff is "severely
3 limited" found on a form report (Tr. 226), the ALJ fully discussed
4 the weight given her "other source" opinion. 20 C.F.R. §§
5 404.1513(d); 416.913(d). (Tr. 40.) He correctly noted the
6 Commissioner is required to consider observations by "other sources"
7 or lay witnesses regarding the effects of impairments on a
8 claimant's ability to work. *Sprague*, 812 F.2d at 1232. Moreover,
9 an ALJ is obligated to give reasons "germane" to a lay witness's
10 testimony before discounting it. *Dodrill*, 12 F.3d at 919. It is
11 appropriate to discount lay testimony if it conflicts with other
12 substantial medical evidence. *Vincent v. Heckler*, 739 F.2d 1393,
13 1395 (9th Cir. 1984). The ALJ gave Ms. Tritt's evaluation form
14 findings little weight, noting they were (1) not supported by other
15 objective medical evidence in the record; (2) based on Plaintiff's
16 unreliable self-report of symptoms and limitations; and (3)
17 inconsistent with her own treatment notes. (Tr. 40.) These are
18 specific, germane reasons for discounting Ms. Tritt's conclusory
19 findings. Further, excepting the self-reported and properly
20 discounted symptoms, Ms. Tritt's observations and findings on
21 examination recorded in treatment notes are reasonably consistent
22 with the Dr. Staley's RFC assessment, and the ALJ's final RFC
23 determination. The ALJ properly evaluated the medical evidence and
24 his RFC determination is reasonably supported by the entire record
25 on review. Accordingly,

26 **IT IS ORDERED:**

27 1. Plaintiff's Motion for Summary Judgment (Ct. Rec. 17) is

1 **DENIED.**

2 2. Defendant's Motion for Summary Judgment dismissal (**ct.**
3 **Rec. 19**) is **GRANTED**.

4 The District Court Executive is directed to file this Order and
5 provide a copy to counsel for Plaintiff and Defendant. The file
6 shall be **CLOSED** and judgment entered for **Defendant**.

7 DATED May 19, 2009.

8
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S/ CYNTHIA IMBROGNO
10 UNITED STATES MAGISTRATE JUDGE

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